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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 100191)

Applicant(s): David J. SCHROEDER et al.

Serial No.: 10/660,379


Filing Date: 11-Sep-03

For: CHEMICAL-MECHANICAL  
POLISHING COMPOSITION AND  
METHOD FOR USING THE SAME

CERTIFICATE OF MAILING

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA, 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO/SB/08A are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The cited document(s) disclose(s) numerous specific features. There has been no attempt to enumerate each and every feature disclosed by each document, however. The Examiner is requested to review the(se) document(s) and to determine the extent of the materiality of the document disclosure(s), if any, with respect to the present invention.

No inference should be drawn that the attached list represents a comprehensive investigation, or that any disclosure in the listed documents is equivalent to the subject invention. The discussion of any art and the citation of any document(s) herein is not to be construed as an admission that the art or document disclosure(s) is/are necessarily within the invention field of endeavor or is/are necessarily prior in time to a particular date which may be relevant with respect to the instant application. Further, the recitation herein of the art and document(s) is not to be construed as an assertion that more pertinent art could not possibly be in existence, or that the newly cited document(s) is/are necessarily prior art as defined by the patent law.

Applicant(s) reserve(s) the right to later set forth how the claimed invention is distinguished over the disclosure of any document or other art, including the disclosure(s) of the art and document(s) recited herein, and that may be cited by the Examiner in rejecting a claim in the instant patent application.

Cabot Microelectronics Corporation  
870 North Commons Drive  
Aurora, Illinois, 60504  
(630) 375-5465

The Information Disclosure Statement is being filed:

- ☐ within any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- ☒ after (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:
- ☒ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).  
or  
☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

Copies of the References

- ☒ This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form PTO/SB/08A are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form PTO/SB/08A are enclosed herewith.

Statement under 37 CFR 1.97(e)

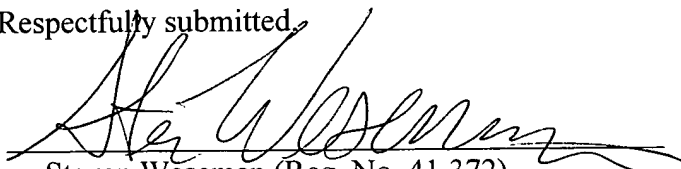
- ☒ The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.

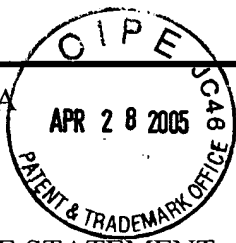
Fees and Method of Payment

- ☒ No fee is believed to be due from the applicant(s), however, the Examiner is authorized to charge Deposit Account No. 501599 for any fees that may be owed in connection with this communication.

Date: 26 Apr 03

Respectfully submitted,

  
Steven Weseman (Reg. No. 41,372)  
Attorney for the Applicant(s)



Comparable to Form PTO/SB/08A

*Complete if Known*

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

Application Number:	10/660,379
Filing Date:	11-Sep-03
First Named Inventor::	David J. SCHROEDER
Art Unit:	1763
Examiner Name:	G. Goudreau
Atty. Docket No.:	100191

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages Or Figures Appear
	B 1	2001-0006224	Jul-5-2001	Tsuchiya et al.	
	B 2	2003-0148614	Aug-7-2003	Simpson et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code- Number-Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages Or Figures Appear	T
	B 3	EP 1 229 093	Jan-18-2002	Fujimi Incorporated		
	B 4	EP 1 193 745	Feb-02-2001	Showa Denko		

## OTHER DOCUMENTS (NON PATENT LITERATURE DOCUMENTS)

Examiner Initials	Cite No.	Author's NAME, title of the article, book, magazine, journal, serial, symposium, catalog, etc., date, page(s), volume-issue number(s), publisher, city and/or country of publication	T

Examiner's Signature:

Date Considered: